TO:Auto-reply fax t. 494501764 COMPANY:

## **Auto-Reply Facsimile Transmission**



TO:

Fax Sender at 9494501764

Fax Information

Date Received: Total Pages: 12/27/02 5:43:31 PM [Eastern Standard Time]

7 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received Cover Page PRESENT THE PROPERTY OF THE PR

Quest 9701/3 3

#### MEMORY TRANSMISSION REPORT

PAGE : 001

: DEC-27-02 02:41PM

TEL NUMBER1: 9494501764 TEL NUMBER2: 9484501765

E-MAIL

NAME : Stout, Uxa, Buyan & Mullins

FILE NUMBER

: 293

DATE

: DEC-27 02:39PM

TO

: \$17033057230

DOCUMENT PAGES

START TIME

: DEC-27 02:39PM

END TIME

: DEC-27 02:41PM

SENT PAGES

: 007

STATUS

OK

FILE NUMBER : 293

\*\*\* SUCCESSFUL TX NOTICE \*\*\*

LAW OFFICES OF STOUT, UXA, BUYAN & MULLINS, LLP

4 vonture. Suits 200 Irvine. Calipornia 92618 (949) 450-1730 Faceimile. (949) 440-1764

## <u>Facsimile Cover Page</u>

DATE:

TO:

William R. Dixon, Jr. 703-872-9307 FAX:

FROM:

RE:

5N 08/482,402

RANSMISSION CONSISTS OF \_\_\_\_\_ PAGES INCLUDING THIS COVER PAGE.
PLEASE CONTACT US IF YOU DO NOT RECEIVE ALL OF THE PAGES. THIS TRANSMISSION CONSISTS OF

ained in this facelintle message is attorney privileged and confidential information intended for the willing named above. If the reader of this massage is not the intended recipient, or the employes or age it to the intended recipient, or the employes or age it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of the prohibited. If you have received this fax in error, please immediately notify to by telephone and r

1) Request for Reconsideration (3 pages)
2) Copy of Deposit Account Monthly S
(1 page)
3) Copy of Petition Decision (2 page)

Docket: 102105.151CI USSN: 08/482,402 page 1 of 3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application:	/	
Rapoport	1	allowed: 05/01/2002
	1	batch: 2315
U.S. Serial No. 08/482,402		
	/	
CPA Filed: 06/07/95	/	Group Art Unit: 1642
	1	Examiner: S. Ungar
For: DISEASE ASSOCIATED HUMAN	1	•
AUTOANTIBODIES SPECIFIC FOR	1	
<b>HUMAN THYROID PEROXIDASE</b>	1	

Commissioner for Patents Washington, D.C. 20231

# REQUEST FOR RECONSIDERATION OF PETITION DECISION OR. ALTERNATIVELY, PETITION FOR REVIVAL OF APPLICATION

### Dear Sir:

This is a request for reconsideration of the petition decision mailed on December 2, 2002, and received in our offices on December 9. A copy of the *Petition Decision* is enclosed for convenience.

The *Petition Decision* indicates that the application was properly abandoned for applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance dated May 1, 2002; therefore, due August 1, 2002, because there were insufficient funds in the undersigned's deposit account to effect issue fee payment.

Attached hereto is a copy of the Monthly Statement for the Deposit Account at issue. The authorization to pay the issue fee was mailed on July 18, 2002, and received in the offices of the PTO on July 24, 2002. Reviewing the statement, a \$4,000 deposit was made to the account on July 17, so that sufficient funds existed in the account when the authorization was mailed to pay

Docket: 102105.151CI USSN: 08/482,402

page 2 of 3

the issue fee. Unfortunately, substantial fee withdrawals were made by the PTO on July 23 and July 24 related to another issue fee payment and PCT fee payments, which drew the balance on account below the required amount on July 24, the date on which the charge was attempted to be made by PTO personnel. This is unfortunate, but the undersigned has been attempting to change his practice to utilize the deposit account rather than checks, in view of the PTO's desire to promote fax filing and e-filing, and this has involved huge and frequent charges to the account which are difficult to keep up with.

Of particular note is that \$5,000 was deposited to the account on July 31, 2002, one day before the due date of August 1, 2002 for payment of the subject issue fee. Thus, prior to the expiration of the period for payment of the subject issue fee, sufficient funds existed in the deposit account to cover said fee. Not once did the PTO ever attempt to re-submit the issue fee for payment against the account, nor did it ever bother to notify the undersigned of the inadvertent shortfall. In the view of the undersigned, this is an unreasonable and unacceptable level of service. Inadvertent mistakes can be made from time to time, but the undersigned has managed his deposit account responsibly, and the requirement to pay the exorbitant fee required under the "unintentional abandonment" provisions to revive the subject application in this case is unduly punitive, particularly given the PTO's own lack of consideration in failing to even bother to notify the undersigned of the problem until TWO MONTHS later, when a Notice of Abandonment was finally sent out on September 16, 2002.

Accordingly, Applicant respectfully submits that the application was improperly abandoned because sufficient funds were present in the deposit account prior to the deadline for payment of the issue fee, and the PTO reasonably should have attempted to re-charge the issue fee on the deadline, rather than merely hold the application abandoned.

Docket: 102105.151CI USSN: 08/482,402

page 3 of 3

If the PTO does not agree with this assertion, at the very least, Applicant requests that this

submittal be regarded as a Petition to Withdraw the Subject Application from Abandonment

under 37 CFR 1.137(a), on the basis that the abandonment was unavoidable. Both when the

issue fee transmittal was mailed, and on July 31, 2002, before the deadline for payment of the

fee, sufficient funds were present in the deposit account to cover the issue fee, and the PTO's

timing in attempting to charge the fee, and failure to notify the undersigned of the insufficient

funds present on July 24, when the attempted charge was made, were completely out of the

control of the undersigned.

However, if the PTO refuses to revive the application as being unavoidably abandoned, then

Applicant respectfully asserts that the abandonment, and the entire period of delay in since

paying the issue fee, is completely unintentional, and requests that the application be revived on

that basis, under 37 CFR 1.137(b).

Upon revival of the application, Applicant requests that the issue fee be charged as

previously authorized, and that the application pass to issue.

The Commissioner is hereby authorized to charge any necessary fees associated with this

communication to Deposit Account No. 13-5135.

Respectfully submitted,

Donald E. Stout

Registration No. 34,493

December 27, 2002 Stout, Uxa, Buyan & Mullins, LLP 4 Venture, Suite 300 Irvine, CA 92618 949-450-1750 telephone



## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

STOUT UXA BUYAN & MULLINS L L P DONALD E. STOUT 4 VENTURE, SUITE300

IRIVINE CA 92618

UNITED STATES PARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

ON FINA

Account No. 135135		
Date	7-31-02	
Page	1	

PLEASE SEND REMITTANCES TO: Patent and Trademark Office P.O. Box 70541 Chicago, III. 60673

DAT	E POS								
MO.			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)			FEE CODE	CHARGES/ CREDITS	BALANCE
777777777777777777777777777777777777777	229799333333344555551	02 02 02 02 02 02 02 02 02 02 02 02 02 0	61 336 19 20 497 498 500 19 498 500 19 15 78 15 78 15 78 15 78 15 78 15 78 15 78 16 78 78 78 78 78 78 78 78 78 78 78 78 78	60391676 09476187 76427518 E-REPLENISHMENT 09100918 09100918 PCT/US02/22889 PCT/US02/22889 PCT/US02/22889 PCT/US02/22889 PCT/US02/22889 PCT/US02/22889 PCT/US02/22889 09246342 09246342 10200109 10200109 76433344 E-REPLENISHMENT	A TM  LS  LS  LS  A-17  A-17	1849P -1532 I 1868 9172P 9172P 66PCT 66PCT 66PCT 66PCT -1767 -1767 -1855	214 581 361 701 240 241 153 801 899 566 141 203 202 361 701	80.00 40.00 650.00 -4000.00 55.00 240.00 450.00 45.00 15.00 130.00 370.00 90.00 84.00 325.00	1194.00 544.00 4544.00 4489.00 3884.00 3644.00 2912.00 2867.00 2427.00 2412.00 1132.00 1002.00 632.00 542.00 458.00 133.00
				OPENING	AUG 1 3		APCES	TOTAL CREDITS	CLOSING BALANCE



MAY - 7 2003

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY
AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

DONALD E. STOUT STOUT UXA BUYEN & MULLINS 4 VENTURE, SUITE 300 IRVINE CA 92618

In re Application of Basil Rapoport

Serial No.: 08/482,402

Filed: June 7, 1995

Attorney Docket No.: 102105.151

: PETITION DECISION

This is in response to applicant's renewed petition under 37 CFR 1.181, filed December 27, 2002, to withdraw the abandonment of the above identified application based on timely filing of a reply.

A review of the file history, as previously set forth, shows that the examiner mailed a Notice of Allowance and Issue Fee Due to applicant on May 1, 2002, setting a three month statutory period for payment of the Issue Fee. Applicant submitted payment of the Issue Fee on July 18, 2002 (Certificate of Mailing date) which was received on July 24, 2002. The Issue Fee Transmittal Form directed the Office to charge the Issue Fee to Deposit Account No. 13-5135. As noted in the attachment to this decision the Office was unable to charge the Issue fee due to insufficient funds in the Deposit Account on that date (approximately July 24, 2002). Applicant was not notified of the insufficiency. That applicant had sufficient funds in the Deposit Account at a later date, prior to the final due date for payment of the Issue Fee, is not germane to whether the Issue Fee was, or could have been, charged to the Deposit Account. The relevant date is the date the fee was attempted to be charged. The application was therefor held abandoned by Notice of Abandonment mailed September 16, 2002, for failure to pay the Issue Fee. Applicant resubmitted the Issue Fee on October 1, 2002, however this was after the due date for payment thereof.

### 37 CFR 1.25 states:

(a) For the convenience of attorneys, and the general public in paying any fees due, in ordering services offered by the Office, copies of records, etc., deposit accounts may be established in the Patent and Trademark Office upon payment of the fee for establishing a deposit account § 1.21(b)(1)). A minimum deposit of \$1,000 is required for paying any fee due or in ordering any services offered by the Office. However, a minimum deposit of \$300 may be paid to establish a restricted subscription deposit account used exclusively for subscription order of patent copies as issued. At the end of each month, a deposit account statement will be rendered. A remittance must be made promptly upon receipt of the statement to cover the value of items or services charged to the account and thus restore the account to its established normal deposit value. An amount sufficient to cover all fees, services, copies, etc., requested must always be on deposit, Charges to accounts with insufficient funds will not be accepted.

As noted in the highlighted section it is applicants' responsibility to ensure adequate funds are on deposit for all charges made or requested. Failure to do so will cause the requested charge to not be accepted. Failure of the Office to notify applicants of insufficient funds in an account or to attempt later to charge a fee to an account places an unnecessary burden on the Office and is not done.

Applicants' renewed petition is **DENIED**.

Applicants request that this also be considered a petition under 37 CFR 1.137(a) is dismissed as insufficient evidence or explanation are provided to establish unavoidable abandonment. Applicant is again advised to promptly submit a petition under 37 CFR 1.137(b) which would allow revival of this application.

Should there be any questions with respect to this decision, please contact William R. Dixon, Jr., by mail addressed to: Director, Technology Center 1600, Washington, D.C. 20231, or by telephone at (703)308-3824 or by facsimile transmission at (703) 308-7230.

Jasemine C. Chambers

Director, Technology Center 1600